

TUE, 31-JUL-00 6:03 FROM APM INC

TO 0227598839

P. 04

ATTORNEY/DOCKET No:

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the Invention (Design, if applicable) entitled:

Optical Signal Processing Apparatus Based on Movable Tilted Reflection Mirror

the specification of which (check one):

9 is attached hereto; 9 was filed on: _____
as U.S. Application Number or PCT International Application Number,

and (if applicable) was amended on:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, . 1.56. I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No
91119074	TAIWAN	23/8/2002	X	

9 Additional Priority Application(s) Listed on Following Page(s)

I HEREBY CLAIM THE BENEFIT UNDER TITLE 35 U.S. CODE, 119(E) OF ANY U.S. PROVISIONAL APPLICATIONS LISTED BELOW.	
Application Number	Day Month/Year Filed

9 Additional Provisional Application(s) Listed on Following Page(s)

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) or PCT International application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, . 1.55 which became available between the filing date of the prior applications) and the national or PCT International filing date of this application;

Application Number	Filing Date	Status - Patented, Pending or Abandoned

9 Additional US/PCT Priority Application(s) listed on Following Page(s)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Bruce H. Troxell. Reg. No. 26,592

I (we) authorize my (our) attorneys to accept and follow instructions from _____ regarding any matter related to the preparation, examination, grant and maintenance of this application, any continuation, continuation-in-part or divisional based thereon, and any patent resulting therefrom, until I (we) or my (our) assigns withdraw this authorization in writing.

SEND CORRESPONDENCE TO:

BRUCE H. TROXELL
5209 LEEBURG PIKE, SUITE 1404
FALLS CHURCH, VA. 22041TELEPHONE CALLS TO:
BRUCE H. TROXELL
(703) 575-2711

FULL NAME OF FIRST OR SOLE INVENTOR

Chen, Chih-Chung

CITIZENSHIP

Taiwan, R.O.C.

RESIDENCE ADDRESS

3F, No.10, Ln.73, HoChieng St., Taipei,
Taiwan, R.O.C.

POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW

DATE

X Aug 1P - 3'

SIGNATURE

Chih-Chung Chen

9 See following page(s) for additional joint inventors.

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CONTINUATION OF DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

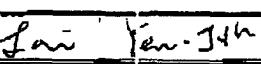
Page _____

PRIOR FOREIGN APPLICATION(S)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No

PRIOR PROVISIONAL APPLICATIONS 35 U.S. CODE . 119(E)		
Application Number	Filing Date	Status - Patented, Pending or Abandoned

PRIOR U.S. OR PCT INTERNATIONAL APPLICATIONS (35 U.S. CODE . 120)		
Application Number	Filing Date	Status - Patented, Pending or Abandoned

Full Name of Joint Inventor	Lee, Chengkuo	Citizenship	Taiwan, R.O.C.
Residence Address	No.10, Aly.1, Ln.70, Sec.2, ChihYuan I Rd., Taipei, Taiwan, R.O.C.	Post Office Address is the Same as Residence Address Unless Otherwise Shown below	
Date	X	Signature 	

Full Name of Joint Inventor	Lai, Yen-Jyh	Citizenship	Taiwan, R.O.C.
Residence Address	No.11, Ln.222, ChienMing Rd., LingYa, KaoHsiung, Taiwan, R.O.C.	Post Office Address is the Same as Residence Address Unless Otherwise Shown below	
Date	X 2003. 8. 19	Signature 	

Full Name of Joint Inventor		Citizenship	
Residence Address		Post Office Address is the Same as Residence Address Unless Otherwise Shown below	
Date		Signature	

Full Name of Joint Inventor		Citizenship	
Residence Address		Post Office Address is the Same as Residence Address Unless Otherwise Shown below	
Date		Signature	

See following pages for additional joint inventors/priority applications.

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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN		Docket Number (Optional)
Applicant, Patentee, or Identifier: <u>Chen, Chih-Chung; Lee, Chengkuu; Lai, Yen-Jyh</u>		
Application or Patent No.:		
Filed or Issued:		
Title: <u>Optical Signal Processing Apparatus Based on Movable Tilted Reflection Mirror</u>		
<p>I hereby state that I am</p> <p style="text-align: center;">the owner of the small business concern identified below;</p> <p style="text-align: center;">an official of the small business concern empowered to act on behalf of the concern identified below;</p>		
NAME OF SMALL BUSINESS CONCERN <u>Asia Pacific Microsystems, Inc.</u>		
ADDRESS OF SMALL BUSINESS CONCERN <u>No.2, R&D Rd. VI., Science-Based Industrial Park</u>		
<u>Hsinchu, Taiwan, R.O.C.</u>		
<p>I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. (Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.</p>		
<p>I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p> <p style="text-align: center;">the specification filed herewith with title as listed above;</p> <p style="text-align: center;">the application identified above;</p> <p style="text-align: center;">the patent identified above.</p>		
<p>If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(e) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).</p>		
<p>Each person, concern, or organization having any rights in the invention is listed below:</p> <p style="text-align: center;">no such person, concern, or organization exists.</p> <p style="text-align: center;">each such person, concern, or organization is listed below.</p>		
<p>Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p>		
<p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.38(b))</p>		
NAME OF PERSON SIGNING <u>Lisa H. Teng</u>		
TITLE OF PERSON IF OTHER THAN OWNER <u>Secretary General</u>		
ADDRESS OF PERSON SIGNING <u>No.2, R&D Rd. VI., Science-Based Industrial Park, Hsinchu</u>		
<u>Taiwan, R.O.C.</u>		
SIGNATURE <u>Sooh-Hsiung</u>	DATE	<u>Aug 20, 2003</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20232. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231
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